### Algorithmic Game Theory

Algorithmische Spieltheorie

### Complexity of Problems for Weighted Voting Games Wintersemester 2022/2023

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### Complexity of Problems for Weighted Voting Games

- Weighted voting games can be represented compactly, since only the weights of the *n* players and a quota need to be given.
- This implicitly tells us which of the altogether 2<sup>n</sup> possible coalitions of players are winning and which are losing, and we don't have to explicitly list this information, which would require exponential space.
- Note that the weights and the quota of a weighted voting game (and also, e.g., the ε in ε-Core(G)) must be restricted to be rational numbers, for otherwise problem instances containing weighted voting games (or an ε) could not always be handled algorithmically.

# Reminder: Many-One Reducibility and Completeness

Definition

- Let  $\Sigma = \{0,1\}$  be a fixed alphabet, and let  $A, B \subseteq \Sigma^*$ .
- Let FP denote the set of polynomial-time computable total functions mapping from Σ\* to Σ\*.
- Let  $\mathscr C$  be any complexity class.
- Define the *polynomial-time many-one reducibility*, denoted by ≤<sup>p</sup><sub>m</sub>, as follows: A ≤<sup>p</sup><sub>m</sub> B if there is a function f ∈ FP such that for each

$$(\forall x \in \Sigma^*)[x \in A \iff f(x) \in B].$$

# Reminder: Many-One Reducibility and Completeness

### Definition (continued)

- **2** A set *B* is  $\leq_{m}^{p}$ -hard for  $\mathscr{C}$  if  $A \leq_{m}^{p} B$  for each  $A \in \mathscr{C}$ .
- A set B is ≤<sup>p</sup><sub>m</sub>-complete for C if
  B is ≤<sup>p</sup><sub>m</sub>-hard for C (lower bound) and
  B ∈ C (upper bound).

if 
$$A \leq_{\mathrm{m}}^{\mathrm{p}} B$$
 and  $B \in \mathscr{C}$ , then  $A \in \mathscr{C}$ .

# Reminder: Properties of $\leq_m^p$

Lemma

- $A \leq_m^p B$  implies  $\overline{A} \leq_m^p \overline{B}$ , yet in general it is not true that  $A \leq_m^p \overline{A}$ .
- The relation ≤<sup>p</sup><sub>m</sub> is both reflexive and transitive, yet not antisymmetric.
- P ("deterministic polynomial time") and
   NP ("nondeterministic polynomial time") are ≤<sup>p</sup><sub>m</sub>-closed.
   That is, upper bounds are inherited downward with respect to ≤<sup>p</sup><sub>m</sub>.
- If  $A \leq_m^p B$  and A is  $\leq_m^p$ -hard for some complexity class  $\mathscr{C}$ , then B is  $\leq_m^p$ -hard for  $\mathscr{C}$ .

That is, lower bounds are inherited upward with respect to  $\leq_m^p$ .

#### Preliminary Remarks

# Reminder: Properties of $\leq_m^p$

### Lemma (continued)

● Let  $\mathscr{C}$  and  $\mathscr{D}$  be any complexity classes. If  $\mathscr{C}$  is  $\leq_m^p$ -closed and B is  $\leq_m^p$ -complete for  $\mathscr{D}$ , then

$$\mathscr{D}\subseteq \mathscr{C}\iff B\in \mathscr{C}.$$

In particular, if B is NP-complete, then

$$\mathbf{P}=\mathbf{NP}\iff B\in\mathbf{P}.$$

• For each nontrivial set  $B \in P$  (i.e.,  $\emptyset \neq B \neq \Sigma^*$ ) and for each set  $A \in P$ ,  $A \leq_m^p B$ . Thus, every nontrivial set in P is  $\leq_m^p$ -complete for P.

# Veto Player and Dummy Player

• Recall that it is common to assume that the grand coalition forms in simple games, just as in superadditive games.

	Veto
Given:	A weighted voting game $G = (w_1, w_2, \dots, w_n; q)$ and a player <i>i</i> .
Question:	Is <i>i</i> a veto player in <i>G</i> ?

#### Theorem

VETO is in P.

Proof: Under the above assumption, it is enough to check whether the coalition  $P \setminus \{i\}$  is winning, i.e., whether  $w(P \setminus \{i\}) \ge q$ .  $\Box$ 

# Veto Player and Dummy Player

#### Dummy

Given:	A weighted voting game $G = (w_1, w_2, \dots, w_n; q)$ and a player <i>i</i> .
Question:	ls <i>i</i> a dummy player in <i>G</i> ?

#### Theorem

DUMMY is coNP-complete, where  $coNP = \{\overline{L} \mid L \in NP\}$ .

#### Remark

- Our reduction will not give "strong coNP-completeness," i.e., coNP-hardness is relevant only if the weights are fairly large.
- While weights are rather small in parliamentary voting, they can be huge in other applications of weighted voting games, such as shareholder voting.

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# Veto Player and Dummy Player

Proof:

- For proving that DUMMY is in coNP, it is enough to check that i is useless for all coalitions C ⊆ P: v(C ∪ {i}) = v(C).
- For the hardness proof, we reduce from the NP-complete problem

Partition
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Given:	A nonempty sequence $(k_1, k_2,, k_n)$ of positive integers satisfying that $\sum_{i=1}^{n} k_i$ is even
Question:	Does there exist a subset $A \subseteq \{1, 2,, n\}$ such that
	$\sum_{i\in\mathcal{A}}k_i=\sum_{i\in\{1,2,\ldots,n\}\smallsetminus\mathcal{A}}k_i?$

to the complement of  $\operatorname{DuMMY}.$  And now, see blackboard.

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Algorithmic Game Theory

## WVG-Empty-Core

Recall that the core of a game G = (P, v) is the set of imputations  $\vec{a}$  such that  $a(C) \ge v(C)$  for each  $C \subseteq P$  (assuming the grand coalition forms).

WVG-Empty-Core

Given:	A weighted vo	ting game $G =$	$(w_1, w_2, \ldots, w_n; q).$
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**Question:** Does it hold that  $Core(G) = \emptyset$ ?

#### Theorem

WVG-EMPTY-CORE is in P.

Proof: Under our assumption that the grand coalition forms, we know that G has a nonempty core if and only if it has a veto player. So it is enough to check for each player if she is a veto player.

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Algorithmic Game Theory

### WVG-In-Core and WVG-Construct-Core

#### Remark

- Similarly, to check whether a given outcome \$\vec{a} = (a\_1, a\_2, ..., a\_n)\$ (i.e., a payoff vector for the grand coalition) is in the core, it is enough to check that \$a\_i = 0\$ for each player i that is not a veto player.
- Also, a payoff vector \$\vec{a} = (a\_1, a\_2, \ldots, a\_n)\$ in the core can be constructed if there exists one:
  - If there is no veto player, the core of G is empty, so we have a yes-instance of WVG-EMPTY-CORE.
  - On the other hand, if there is some veto player i, construct an imputation a with a<sub>i</sub> = 1, a<sub>j</sub> = 0 for j ∈ P \ {i}.

# WVG-In-Core and WVG-Construct-Core

WVG-IN-CORE

**Given:** A weighted voting game  $G = (w_1, ..., w_n; q)$  and an imputation  $\vec{a}$ . **Question:** Is  $\vec{a}$  in the core of G?

WVG-CONSTRUCT-CORE

**Given:** A weighted voting game  $G = (w_1, \ldots, w_n; q)$ .

**Task:** Construct an imputation  $\vec{a}$  in the core of G.

Theorem

WVG-IN-CORE and WVG-CONSTRUCT-CORE can be solved in polynomial time.

#### Remark

#### What if the grand coalition does not form?

If q < w(P)/2, there may be two or more disjoint winning coalitions.

- Such a quota doesn't make sense in a voting context.
- However, it does make sense for multiagent task allocation, where disjoint teams of players tackle different tasks.
- ② For a weighted voting game G, let CS-Core(G) denote the set of outcomes (C, a) with C ∈ CSP that are stable against deviation.

#### WVG-CS-CORE

Given:	A weighted voting game $G = (w_1, w_2, \dots, w_n; q)$ , where the play-
	ers may form nontrivial coalition structures.
Question:	Does it hold that $CS$ -Core $(G) \neq \emptyset$ ?

# Theorem (Elkind, Chalkiadakis, and Jennings (2008)) Let $G = (w_1, ..., w_n; q)$ be a weighted voting game over $P = \{1, ..., n\}$ . If there exists a coalition structure $\mathfrak{C} = \{C_1, ..., C_k\}$ in $\mathscr{CP}_P$ such that $w(C_j) = q$ for all $j, 1 \le j \le k$ , then CS- $Core(G) \ne \emptyset$ .

Proof: See blackboard.

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Theorem (Elkind, Chalkiadakis, and Jennings (2008)) WVG-CS-CORE *is* NP-*hard*.

Proof: See blackboard.

Remark

**1** It is not clear if WVG-CS-CORE is NP-complete (i.e., in NP):

• After guessing an outcome, exponentially many checks are needed to verify stability.

 When guessing an outcome a = (a<sub>1</sub>, a<sub>2</sub>,..., a<sub>n</sub>), can the a<sub>i</sub> be written using p(n, log w<sub>max</sub>) bits, where w<sub>max</sub> is the largest weight? Elkind et al. (2008): Yes! If CS-Core(G) ≠ Ø then it contains such an outcome. So, we know that WVG-CS-CORE is in Σ<sub>2</sub><sup>p</sup> = NP<sup>NP</sup>.

• Greco et al. (2011) improve this to: WVG-CS-CORE is in  $\Delta_2^p = P^{NP}$ .

#### Remark

- ② Checking whether a given outcome (𝔅,  $\vec{a}$ ) with 𝔅 ∈ 𝔅𝒮<sub>P</sub> and  $\vec{a} = (a_1, a_2, ..., a_n)$  is in CS-Core(G) is:
  - coNP-complete in general (reduction from PARTITION), but
  - in P if the weights are given in unary (reduction to KNAPSACK).

- **Given:** A list of k items with utilities  $u_1, \ldots, u_k \in \mathbb{N}$  and sizes  $s_1, \ldots, s_k \in \mathbb{N}$ , the knapsack size S, and the target utility U.
- **Question:** Is there a subset of indices  $I \subseteq \{1, ..., k\}$  such that

$$\sum_{i \in I} s_i \leq S$$
 and  $\sum_{i \in I} u_i \geq U$ ?

Does it hold that  $\varepsilon$ -Core(G)  $\neq \emptyset$ ?

## $\epsilon$ -Core and Least Core for Weighted Voting Games

#### WVG-Epsilon-Core

**Given:** A weighted voting game  $G = (w_1, w_2, ..., w_n; q)$  and a rational value  $\varepsilon \ge 0$ .

WVG-IN-Epsilon-Core

Given:	A weighted voting game $G = (w_1, w_2, \dots, w_n; q)$ , a rational value			
	$arepsilon\geq 0$ , and an efficient payoff vector $ec{a}$ .			

**Question:** Is  $\vec{a}$  in  $\varepsilon$ -Core(G)?

Question:

Theorem (Elkind, Goldberg, Goldberg, and Wooldridge (2009)) WVG-EPSILON-CORE *is* coNP-*hard*.

**2** WVG-IN-EPSILON-CORE *is* coNP-complete.

#### Remark

- It is not clear if WVG-EPSILON-CORE is coNP-complete (i.e., in coNP).
- **2** The best known upper bound for WVG-EPSILON-CORE is  $\Sigma_2^p = NP^{NP}$ :
  - Guess a solution and
  - verify that no coalition can gain more than  $\boldsymbol{\epsilon}$  by deviating.

Proof: We show

### $\overline{\mathrm{PARTITION}} \leq^p_m \mathrm{WVG}\text{-}\mathrm{Epsilon}\text{-}\mathrm{Core}.$

Given an instance  $(k_1, k_2, ..., k_n)$  with  $\sum_{i=1}^n k_i = 2K$  for some positive integer K, construct a WVG with n+1 players:

$$G = (w_1, \ldots, w_n, w_{n+1}; q) = (k_1, \ldots, k_n, K; K).$$

Lemma (Elkind, Goldberg, Goldberg, and Wooldridge (2009))

- If  $(k_1, k_2, \ldots, k_n) \in \text{PARTITION}$  then
  - (a) the value of the least core of G is  $\frac{2}{3}$ , and
  - (b) for each efficient payoff vector \$\vec{a} = (a\_1, a\_2, \ldots, a\_{n+1})\$ in the least core of \$G\$, it holds that \$a\_{n+1} = \frac{1}{3}\$.

Proof: of the lemma.

**1** Define the payoff vector  $\vec{a} = (a_1, a_2, \dots, a_{n+1})$  by

$$a_i = \frac{w_i}{3K}$$
 for  $1 \le i \le n+1$ .

Note that  $\vec{a}$  is efficient and  $a_i > 0$  for each i.

Define the excess of a coalition C w.r.t. a by

$$e(\vec{a},C)=a(C)-v(c).$$

Note that 
$$e(\vec{a}, C) \ge -\frac{2}{3}$$
 for all  $C \subseteq P = \{1, \dots, n+1\}$ .  
Hence,  $\vec{a} \in \frac{2}{3}$ -Core(G), so  $\tilde{\varepsilon}(G) \le \frac{2}{3}$ .

Since  $(k_1, k_2, ..., k_n) \in \text{PARTITION}$ , there are three disjoint winning coalitions:

$$C_{1} = J \subseteq \{1, ..., n\} \text{ with } \sum_{j \in J} k_{j} = K,$$
  

$$C_{2} = \{1, ..., n\} \setminus J,$$
  

$$C_{3} = \{n+1\}.$$

Every efficient payoff vector  $\vec{b} = (b_1, b_2, \dots, b_{n+1})$  with  $b_{n+1} \neq \frac{1}{3}$  satisfies  $b(C_i) < \frac{1}{3}$  for some  $i \in \{1, 2, 3\}$ , and thus  $e(\vec{b}, C_i) < -\frac{2}{3}$ .

Hence, if some  $\vec{a} = (a_1, a_2, ..., a_{n+1})$  maximizes its least excess, it must satisfy  $a_{n+1} = \frac{1}{3}$ .

Therefore,  $\tilde{\varepsilon}(G) = \frac{2}{3}$  and every  $\vec{a} = (a_1, a_2, ..., a_{n+1})$  in the least core of G satisfies  $a_{n+1} = \frac{1}{3}$ .

**2** Now suppose  $(k_1, k_2, \ldots, k_n) \notin \text{PARTITION}$ .

Modify the payoff vector  $\vec{a} = (a_1, \dots, a_{n+1}) = (\frac{k_1}{3K}, \dots, \frac{k_n}{3K}, \frac{1}{3})$  by setting

$$\vec{a}' = (a'_1, \dots, a'_{n+1}) = \left(a_1 - \frac{1}{6nK}, \dots, a_n - \frac{1}{6nK}, a_{n+1} + \frac{1}{6K}\right).$$

Note that  $\vec{a}'$  is efficient and  $a'_i > 0$  for each *i*.

One can show that

$$e(\vec{a}',C) \geq -rac{2}{3} + rac{1}{6K}$$
 for each  $C \subseteq P = \{1,\ldots,n+1\}$ 

See blackboard.

Since  $e(\vec{a}', C) \ge -\frac{2}{3} + \frac{1}{6K}$  for each  $C \subseteq P = \{1, \dots, n+1\}$ ,  $\vec{a}'$  witnesses that  $\tilde{\epsilon}(G) \le \frac{2}{3} - \frac{1}{6K}$ .

Hence, for each payoff vector  $\vec{b}$  in the least core of G, we have

$$e(\vec{b},C) \geq -\frac{2}{3} + \frac{1}{6K}$$
 for each  $C \subseteq P = \{1,\ldots,n+1\}.$ 

In particular, for  $C_3 = \{n+1\}$ :

$$b_{n+1} \geq rac{1}{3} + rac{1}{6K}$$
.  $\Box$  Lemma

And now see blackboard again for completing the proof of the theorem:

 $\overline{\text{PARTITION}} \leq_{\text{m}}^{\text{p}} \text{WVG-Epsilon-Core.} \square$ 

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Algorithmic Game Theory

#### WVG-IN-LEAST-CORE

**Given:** A weighted voting game  $G = (w_1, w_2, ..., w_n; q)$  and an efficient payoff vector  $\vec{a}$ .

**Question:** Is  $\vec{a}$  in the least core of *G*?

WVG-Construct-Least-Core

**Given:** A weighted voting game  $G = (w_1, w_2, \dots, w_n; q)$ .

**Task:** Construct an efficient payoff vector  $\vec{a}$  in the least core of G.

Theorem (Elkind, Goldberg, Goldberg, and Wooldridge (2009)) WVG-IN-LEAST-CORE *is* NP-*hard*.

WVG-CONSTRUCT-LEAST-CORE cannot be solved in deterministic polynomial time, unless P = NP.

Proof: See blackboard.

#### Remark

- It is not clear if WVG-IN-LEAST-CORE is NP-complete (i.e., in NP).
- **2** The best known upper bound for WVG-IN-LEAST-CORE is  $\Pi_2^p = \text{coNP}^{NP}$ .

#### Remark

- On the other hand, despite their NP- or coNP-hardness, each of the problems
  - WVG-Epsilon-Core,
  - WVG-IN-Epsilon-Core,
  - WVG-IN-LEAST-CORE, and
  - WVG-Construct-Least-Core

admits a pseudo-polynomial-time algorithm, which can then be converted to a fully polynomial-time approximation scheme (FPTAS).

- Similarly, the value of the least core of a given weighted voting game with n players can be computed in time polynomial in n and w<sub>max</sub>.
- The proof makes use of the linear program for the least core.

Let G = (P, v) be a superadditive weighted voting game. Recall the notion of the *(additive) cost of stability for G*, defined by

$$CoS(G) = \inf \{ \Delta \mid \Delta \ge 0 \text{ and } Core(G_{\Delta}) \neq \emptyset \},\$$

where the *adjusted game*  $G_{\Delta} = (P, v_{\Delta})$  is given by

• 
$$v_{\Delta}(C) = v(C)$$
 for  $C \neq P$  and

• 
$$v_{\Delta}(P) = v(P) + \Delta$$
.

Similarly, we can define the *multiplicative cost of stability* by

$$CoS^{\times}(G) = \frac{CoS(G) + v(P)}{v(P)}.$$
(1)

#### Remark

- Results for the additive cost of stability can be restated for its multiplicative sibling, and vice versa.
   For example, if CoS(G) = v(P), we have CoS<sup>×</sup>(G) = 2.
- Note that  $CoS^{\times}(G) \ge 1$  for profit-sharing games.
- For cost-sharing games, the multiplicative cost of stability is also known as the cost recovery ratio, and we have 0 ≤ CoS<sup>×</sup>(G) ≤ 1.

### Theorem (Bachrach et al. (2018))

For each superadditive weighted voting game  $G = (P, v) = (w_1, \dots, w_n; q)$ ,

$$CoS^{\times}(G) < 2.$$

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Proof:

- Since G is a simple game, it is superadditive if and only if every pair of winning coalitions has a nonempty intersection.
- Recall that we assume that w(P) ≥ q.
   Suppose that there is an agent i\* with weight w<sub>i\*</sub> ≥ q.
   Then, by superadditivity, i\* must be a veto player, so the core of G is nonempty and hence CoS<sup>×</sup>(G) = 1.
- Otherwise, let S be a minimum-weight winning coalition in G. Pick a player  $j \in S$  such that  $w_j \leq w_i$  for all  $i \in S$ , and set

$$s=1-\frac{w(S\setminus\{j\})}{q}$$

Note that s > 0 by our choice of S.

- Define a payoff vector  $\vec{a}$  by setting  $a_j = s$ ,  $a_i = \frac{w_i}{a}$  for  $i \in P \setminus \{j\}$ .
- We claim that *ā* is stable.
  Indeed, consider a winning coalition *R*.
  If *j* ∉ *R*, then *a*(*R*) = <sup>w(R)</sup>/<sub>q</sub> ≥ 1, so *R* does not block *ā*.
  If *j* ∈ *R*, then (since w(*R*) ≥ w(*S*) by our choice of *S*) we have *a*(*R*) = *a*(*R* \ {*j*}) + *a*<sub>*j*</sub> = <sup>w(R \ {*j*})</sup>/<sub>q</sub> + *a*<sub>*j*</sub> ≥ <sup>w(S \ {*j*})</sup>/<sub>q</sub> + *s* = 1.

• It remains to bound the total payment:

$$\begin{aligned} \mathsf{a}(P) &= \mathsf{a}(S \setminus \{j\}) + \mathsf{a}_j + \mathsf{a}(P \setminus S) = \frac{\mathsf{w}(S \setminus \{j\})}{q} + \mathsf{s} + \frac{\mathsf{w}(P \setminus S)}{q} \\ &= 1 + \frac{\mathsf{w}(P \setminus S)}{q} < 1 + 1 = 2, \end{aligned}$$

where the inequality holds because  $P \setminus S$  is a losing coalition.

WVG-Super-Imputation-Stability

**Given:** A weighted voting game G, a parameter  $\Delta \ge 0$ , and an imputation  $\vec{a} = (a_1, a_2, \dots, a_n)$  in the adjusted game  $G_{\Delta}$ .

**Question:** Is it true that  $\vec{a} \in Core(G_{\Delta})$ ?

WVG-Cost-of-Stability

Given:	A weighted	voting game	Ga	and a	parameter	$\Delta \ge 0.$
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**Question:** Is it true that  $CoS(G) \leq \Delta$  (i.e., is it true that  $Core(G_{\Delta}) \neq \emptyset$ )?

### Theorem (Bachrach et al. (2009))

- **1** WVG-SUPER-IMPUTATION-STABILITY *is* coNP-complete.
- **2** WVG-COST-OF-STABILITY *is* coNP-*hard*.

#### Proof: See blackboard.

#### Remark

- Again, if the weights and the quota of the given weighted voting game in these problems are represented in unary, then both problems can be solved in polynomial time.
- Bachrach et al. (2009) also showed that there is an FPTAS for computing CoS(G).

# Complexity of Computing Power Indices

### How hard is it to compute the Shapley–Shubik or Banzhaf index?

#### Definition

Define #P as the class of functions that give the number of solutions of NP problems. #P is also known as the *"counting version of* NP."

### Example (of functions in #P)

- #SAT maps each boolean formula to the number of its satisfying assignments.
- #PARTITION maps each instance  $(k_1, k_2, ..., k_n)$  of PARTITION to the number of subsets  $A \subseteq \{1, 2, ..., n\}$  such that

$$\sum_{i\in A} k_i = \sum_{i\in\{1,2,\dots,n\}\smallsetminus A} k_i$$

# Complexity of Computing Power Indices

#### Definition

Let f and g be two functions mapping from  $\Sigma^*$  to  $\mathbb{N}$ .

• We say *f* (many-one) reduces to *g* if there exist two polynomial-time computable functions,  $\psi : \mathbb{N} \to \mathbb{N}$  and  $\rho : \Sigma^* \to \Sigma^*$ , such that for each  $x \in \Sigma^*$ ,

$$f(x) = \psi(g(\rho(x))).$$

- We say f parsimoniously reduces to g if there exists a polynomial-time computable function ρ such that for each x ∈ Σ\*, f(x) = g(ρ(x)).
- g is (parsimoniously) hard for #P if every f ∈ #P (parsimoniously) reduces to g, and g is (parsimoniously) complete for #P if g ∈ #P and g is (parsimoniously) hard for #P.

# Complexity of Computing Power Indices

#### Theorem

- Computing the (raw) Shapley–Shubik index of a player in a given weighted voting game is complete for #P. (Deng and Papadimitriou (1994))
- Ormputing the (raw) Banzhaf index is parsimoniously complete for #P. (Prasad and Kelly (1990))
- For both problems, there exist pseudo-polynomial-time algorithms. (Matsui and Matsui (2000))
Proof: We show only the first statement: Computing the (raw) Shapley–Shubik index of a player in a given weighted voting game is #P-complete.

- 1. Membership in **#P**. Given a WVG G and a player i:
  - Nondeterministically guess all permutations  $\pi$  of the players in G.
  - For each permutation  $\pi$  guessed, accept if and only if  $\Delta_{\pi}^{G}(i) = 1$ .

Clearly, the number of accepting computation paths is

$$\sum_{\pi\in\Pi_P}\Delta_{\pi}^{G}(i)=\mathsf{SSI}^*(G,i).$$

**2. #P-hardness.** We reduce from the **#P-complete** problem **#**SUBSETSUM, the counting version of the NP-complete problem

	SubsetSum
Given:	A sequence $(a_1, \ldots, a_m)$ of positive integers and a positive integer $K$ .
Question:	Does there exist a subset $A \subseteq \{1, \dots, m\}$ such that $\sum_{i \in A} a_i = K$ ?

We work with a simplified but still #P-complete variant of this problem by assuming that:

- $K = \frac{M}{2}$ , where  $M = \sum_{i=1}^{m} a_i$  and
- all solutions A have the same size.

Given such an instance of #SUBSETSUM, with  $(a_1, \ldots, a_m)$  and  $K = \frac{M}{2}$ , construct a weighted voting game G = (P, v) with n = m + 1 players:

$$G = (w_1,\ldots,w_m,w_n;q) = (a_1,\ldots,a_m,1;\frac{\sum_{i\in P}w_i}{2}).$$

Note that the quota is  $\frac{M+1}{2}$  for an even number M.

For all  $A \subseteq P$ , we have  $v(A) - v(A \setminus \{n\}) = 1$  if and only if the following conditions hold:

$$\begin{array}{ll} \bullet & n \in A,\\ \bullet & \sum_{j \in A} w_j > \frac{M+1}{2}, \text{ and}\\ \bullet & \sum_{j \in A \setminus \{n\}} w_j < \frac{M+1}{2}. \end{array}$$

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Since  $w_n = 1$ , this is equivalent to

$$\sum_{i\in A\setminus\{n\}}w_j=\frac{M}{2}=K.$$

In other words,  $A \setminus \{n\}$  is a solution to the original SUBSETSUM instance.

Recall our assumption that all solutions have the same size: Letting ||A|| = k, we have  $||A \setminus \{n\}|| = k - 1$ . Hence,

 $SSI^{*}(G,n) = \sum_{C \subseteq P \setminus \{n\}} \|C\|! \cdot (n - \|C\| - 1)! \cdot (v(C) - v(C \setminus \{n\}))$  $= (k-1)!(n-k)! \cdot \begin{pmatrix} \text{"number of solutions to the} \\ \text{SUBSETSUM instance"} \end{pmatrix}. \Box$ 

# Complexity of Power Comparison

For a power index  $\mathbb{PI}$  (such as Shapley-Shubik or Banzhaf), define:

 $\mathbb{P}I$ -Power-Compare

**Given:** Two weighted voting games, *G* and *G'*, and a player *i* occurring in both games.

**Question:** Is it true that  $\mathbb{PI}(G, i) > \mathbb{PI}(G', i)$ ?

Theorem (Faliszewski & Hemaspaandra (2009)) SHAPLEY-SHUBIK-POWER-COMPARE *and* BANZHAF-POWER-COMPARE *are* PP-*complete*, *where* 

$$\mathrm{PP} = \left\{ A \ \left| \ (\exists f \in \#\mathrm{P})(\forall x) \left[ x \in A \Longleftrightarrow f(x) \ge 2^{p(|x|)-1} \right] \right\} \right.$$

is "probabilistic polynomial time." J. Rothe (HHU Düsseldorf) Algorithmic

Algorithmic Game Theory

without proof

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For a power index  $\mathbb{PI}$  (such as Shapley-Shubik or Banzhaf), define:

	₽ <b>I</b> -Beneficial-Merge
Given:	A weighted voting game $G = (w_1,, w_n; q)$ and a nonempty coalition $S \subseteq \{1,, n\}$ .
Question:	Is it true that $\mathbb{PI}(G_{\&S},1) > \sum_{i \in S} \mathbb{PI}(G,i),$
	where $G_{\&S} = (\sum_{i \in S} w_i, w_{j_1}, \dots, w_{j_{n-  S  }}; q)$ with $\{j_1, \dots, j_{n-  S  }\} = \{1, \dots, n\} \smallsetminus S$ ?







### Example



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# Example $BI(G_{+2}, \square) + BI(G_{+2}, \square) = BI(G, \square)$ $=\frac{12}{64}$ $=\frac{12}{64}$ $=\frac{12}{32}$ $BI(G_{+2}, \square) + BI(G_{+2}, \square) = BI(G, \square)$ $=\frac{19}{64}$ $=\frac{12}{32}$ $=\frac{5}{64}$

$$SSI(G_{2} \div 2, \bigcirc) + SSI(G_{2} \div 2, \bigcirc) < SSI(G, \bigcirc)$$
$$= \frac{41}{420} = \frac{41}{420} = \frac{91}{420}$$

$$SSI(G_{2} \div 2, \bigcirc) + SSI(G_{2} \div 2, \frown) < SSI(G, \bigcirc)$$
$$= \frac{73}{420} = \frac{17}{420} = \frac{91}{420}$$

For a power index  $\mathbb{PI}$  (such as Shapley-Shubik or Banzhaf), define:

J

#### PI-BENEFICIAL-SPLIT

Given: A weighted voting game  $G = (w_1, \ldots, w_n; q)$ , a player  $i \in \{1, \ldots, n\}$ , and an integer  $k \ge 2$ .

**Question:** Is it possible to split *i* into *k* new players with positive integer weights  $u_1, \ldots, u_k$  satisfying  $\sum_{j=1}^k u_j = w_i$  so that

$$\sum_{i=0}^{k-1} \mathbb{PI}(G_{i \div k}, i+j) > \mathbb{PI}(G, i),$$

where  $G_{i \div k} = (w_1, \dots, w_{i-1}, u_1, \dots, u_k, w_{i+1}, \dots, w_n; q)$ ?

## Complexity Classes

PSPACE  

$$| NP^{PP} = \{A \mid (\exists NPOTM \ M)(\exists B \in PP) [A = L(M^B)]\}$$

$$| PP = \{A \mid (\exists f \in \#P)(\forall x) [x \in A \iff f(x) \ge 2^{p(|x|)-1}]\}$$

$$| NP$$

$$| P$$

### $\mathbb{PI}\text{-}\mathsf{Beneficial}\text{-}\mathsf{Merge}$

#### $\mathbb{PI}\text{-}\mathsf{Beneficial-Split}$

### $\mathbb{PI}\text{-}\mathbf{Beneficial}\text{-}\mathbf{Merge}$

• open question <sup>[1]</sup>

 $\mathbb{PI}$ -Beneficial-Split

• SSI: NP-hard <sup>[1]</sup> 
$$(k = 2)$$

<sup>[1]</sup> Bachrach & Elkind, AAMAS 2008

### $\mathbb{PI}\text{-}\mathsf{Beneficial}\text{-}\mathsf{Merge}$

- open question <sup>[1]</sup>
- BI, SSI: NP-hard <sup>[2] [3]</sup>

### $\mathbb{PI}\text{-}\mathsf{Beneficial-Split}$

- SSI: NP-hard <sup>[1] [3]</sup> (k = 2)
- BI: NP-hard <sup>[2] [3]</sup>

<sup>[1]</sup> Bachrach & Elkind, AAMAS 2008

- <sup>[2]</sup> Aziz & Paterson, AAMAS 2009
- $^{[1]} + ^{[2]} = ^{[3]}$  Aziz et al., JAIR 2011

### $\mathbb{PI}\text{-}\mathsf{Beneficial}\text{-}\mathsf{Merge}$

- open question <sup>[1]</sup>
- BI, SSI: NP-hard <sup>[2] [3]</sup>
- SSI: ||S|| = 2: in PP<sup>[4]</sup>

### $\mathbb{PI}\text{-}\mathsf{Beneficial-Split}$

- SSI: NP-hard <sup>[1] [3]</sup> (k = 2)
- BI: NP-hard <sup>[2] [3]</sup>

Bachrach & Elkind, AAMAS 2008
 Aziz & Paterson, AAMAS 2009

- $^{[1]} + ^{[2]} = ^{[3]}$  Aziz et al., JAIR 2011
- <sup>[4]</sup> Faliszewski & Hemaspaandra, TCS 2009

### $\mathbb{PI}\text{-}\mathsf{Beneficial}\text{-}\mathsf{Merge}$

- open question <sup>[1]</sup>
- BI, SSI: NP-hard <sup>[2] [3]</sup>
- SSI: ||S|| = 2: in PP<sup>[4]</sup>
- BI: ||S|| = 2: in P; ||S|| ≥ 3: in PP, NP-hard <sup>[5]</sup>

### $\mathbb{PI}$ -Beneficial-Split

- SSI: NP-hard <sup>[1] [3]</sup> (k = 2)
- BI: NP-hard <sup>[2] [3]</sup>
- BI: k = 2: in P;
   k ≥ 3: in PP, NP-hard <sup>[5]</sup>

Bachrach & Elkind, AAMAS 2008
 Aziz & Paterson, AAMAS 2009
 + [2] = [3] Aziz et al., JAIR 2011

[4] Faliszewski & Hemaspaandra, TCS 2009
 [5] Rev & Rothe, ECAI 2010

### $\mathbb{PI}\text{-}\mathsf{Beneficial}\text{-}\mathsf{Merge}$

- open question <sup>[1]</sup>
- BI, SSI: NP-hard <sup>[2] [3]</sup>
- SSI: ||S|| = 2: in PP<sup>[4]</sup>
- BI: ||S|| = 2: in P; ||S|| ≥ 3: in PP, NP-hard <sup>[5]</sup>
- BI, SSI: PP-complete <sup>[6]</sup>

### $\mathbb{PI}\text{-}\mathsf{Beneficial-Split}$

- SSI: NP-hard <sup>[1] [3]</sup> (k = 2)
- BI: NP-hard <sup>[2] [3]</sup>
- BI: k = 2: in P; k ≥ 3: in PP, NP-hard <sup>[5]</sup>
- BI, SSI: PP-hard, in NP<sup>PP [6]</sup>

Bachrach & Elkind, AAMAS 2008
 Aziz & Paterson, AAMAS 2009
 + [2] = [3] Aziz et al., JAIR 2011

- <sup>[4]</sup> Faliszewski & Hemaspaandra, TCS 2009
   <sup>[5]</sup> Rey & Rothe, ECAI 2010
- <sup>[6]</sup> Rey & Rothe, LATIN 2014 + JAIR 2014

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### Fact

Let G be a weighted voting game and  $S \subseteq \{1, ..., n\}$  be a coalition of its players.

- **9** BI-BENEFICIAL-MERGE is in P for instances (G, S) with ||S|| = 2.
  - **2** BI-BENEFICIAL-SPLIT *is in* P *for instances* (G, i, 2).

Proof:

• Let  $G = (w_1, \ldots, w_n; q)$  be a weighted voting game.

Without loss of generality, let  $S = \{1, n\}$ .

We obtain a new game  $G_{\&S} = (w_1 + w_n, w_2, \dots, w_{n-1}; q)$ , where the first player is the new player merging S.

Letting  $v_G$  and  $v_{G_{\&S}}$  denote the corresponding coalitional functions, it holds that

$$BI(G_{\&S},1) - (BI(G,1) + BI(G,n))$$

$$= \frac{1}{2^{n-2}} \left( \sum_{C \subseteq \{2,...,n-1\}} (v_{G_{\&S}}(C \cup \{1\}) - v_{G_{\&S}}(C)) \right)$$

$$- \frac{1}{2^{n-1}} \left( \sum_{C \subseteq \{2,...,n\}} (v_G(C \cup \{1\}) - v_G(C)) + \sum_{C \subseteq \{1,...,n-1\}} (v_G(C \cup \{n\}) - v_G(C)) \right)$$

$$= \frac{1}{2^{n-1}} \left( \sum_{C \subseteq \{2,...,n-1\}} (2(v_{G_{\&S}}(C \cup \{1\}) - v_{G_{\&S}}(C)) - (v_{G}(C \cup \{1\}) - v_{G}(C)) - (v_{G}(C \cup \{1,n\}) - v_{G}(C \cup \{n\})) - (v_{G}(C \cup \{1,n\}) - v_{G}(C \cup \{n\})) - (v_{G}(C \cup \{n,1\}) - v_{G}(C \cup \{1\}))) \right)$$

$$= \frac{1}{2^{n-1}} \left( \sum_{C \subseteq \{2,...,n-1\}} (2v_{G_{\&S}}(C \cup \{1\}) - 2v_{G}(C \cup \{1,n\}) + 2v_{G}(C) - 2v_{G_{\&S}}(C)) \right)$$

$$= 0.$$

In the case of splitting, it similarly holds that

$$BI(G_{n+2}, n+1) + BI(G_{n+2}, n+2) - BI(G, n) = 0$$

for a weighted voting game G, k = 2, and, without loss of generality, player n in G splitting into players n+1 and n+2 in a new game  $G_{n+2}$ .

Theorem (Rey & Rothe)

Banzhaf-BENEFICIAL-MERGE *is* PP-hard.

Proof Sketch.

 $\operatorname{COMPARE-\#SUBSETSUM}$ 

 $\operatorname{COMPARE-\#SUBSETSUM-R}$ 

 $\operatorname{COMPARE-\#SUBSETSUM-RR}$ 

Theorem (Rey & Rothe)

Banzhaf-BENEFICIAL-MERGE *is* PP-hard.

Proof Sketch.

 $\operatorname{Compare-\#SubsetSum}$ 

 $\operatorname{COMPARE-\#SUBSETSUM-R}$ 

For F #P-parsimonious-complete, COMPARE- $F = \{(x, y) | F(x) > F(y)\}$ is PP-complete.<sup>[4]</sup>

COMPARE-#SUBSETSUM-RR

```
\begin{aligned} &\# \text{SUBSETSUM}((a_1, \dots, a_n), q) \\ &= \|\{I \subseteq N \mid \sum_{i \in I} a_i = q\}\|. \end{aligned}
```

Theorem (Rey & Rothe) Banzhaf-BENEFICIAL-MERGE *is* PP-*hard*.

Proof Sketch.

COMPARE-#SUBSETSUM PP-complete  $\checkmark$ 

 $\operatorname{COMPARE-\#SUBSETSUM-R}$ 

 $\operatorname{COMPARE-\#SUBSETSUM-RR}$ 

### Theorem (Rey & Rothe)

Banzhaf-BENEFICIAL-MERGE *is* PP-hard.

Proof Sketch.

 $\begin{array}{c} {\rm Compare-\#SubsetSum} \\ \downarrow \\ {\rm Compare-\#SubsetSum-R} \end{array}$ 

 $\operatorname{COMPARE-\#SUBSETSUM-RR}$ 

Given 
$$A = (a_1, \dots, a_n)$$
,  $q_1$ , and  $q_2$ ,  
is  $\#S(A, q_1) > \#S(A, q_2)$ ?  
 $\leq_m^p$ -reduction via:  
 $((x_1, \dots, x_m), q_x)$ ,  $((y_1, \dots, y_n), q_y)$   
 $\mapsto A = (x_1, \dots, x_m, 2\alpha y_1, \dots, 2\alpha y_n)$ ,  
 $\alpha = \sum_{i=1}^m x_i$ ,  $q_1 = q_x$ , and  $q_2 = 2\alpha q_y$ .

Theorem (Rey & Rothe) Banzhaf-BENEFICIAL-MERGE *is* PP-*hard*.

Proof Sketch.

```
Compare-#SubsetSum PP-complete \checkmark

Compare-#SubsetSum-R PP-hard \checkmark
```

 $\operatorname{COMPARE-\#SUBSETSUM-RR}$ 

```
Theorem (Rey & Rothe)
```

Banzhaf-BENEFICIAL-MERGE is PP-hard.

Proof Sketch.
```
Theorem (Rey & Rothe)
Banzhaf-BENEFICIAL-MERGE is PP-hard.
```

Proof Sketch.

```
COMPARE-#SUBSETSUM PP-complete \checkmark

COMPARE-#SUBSETSUM-R PP-hard \checkmark

COMPARE-#SUBSETSUM-RR PP-hard \checkmark
```

#### Banzhaf-BENEFICIAL-MERGE

```
Theorem (Rey & Rothe)
Banzhaf-BENEFICIAL-MERGE is PP-hard.
```

Proof Sketch.

```
COMPARE-#SUBSETSUM

COMPARE-#SUBSETSUM-R

\downarrow

COMPARE-#SUBSETSUM-R

\downarrow

COMPARE-#SUBSETSUM-RR

\downarrow

Banzhaf-BENEFICIAL-MERGE

Compare-#SubsetSum-RR

\downarrow

G = (2a_1, \dots, 2a_n, 1, 1, 1; \alpha),

C = \{n+2, n+3, n+4\}.
```

```
Theorem (Rey & Rothe)
Banzhaf-BENEFICIAL-MERGE is PP-hard.
```

Proof Sketch.

```
COMPARE-#SUBSETSUM PP-complete \checkmark

COMPARE-#SUBSETSUM-R PP-hard \checkmark

COMPARE-#SUBSETSUM-RR PP-hard \checkmark

Banzhaf-BENEFICIAL-MERGE PP-hard \checkmark
```

Lemma (Faliszewski & Hemaspaandra, 2009)

Let F be a #P-parsimonious-complete function. The problem

COMPARE-
$$F = \{(x, y) \mid F(x) > F(y)\}$$

is PP-complete.

#SUBSETSUM is known to be #P-parsimonious-complete.

Corollary

COMPARE-#SUBSETSUM is PP-complete.

#### COMPARE-#SUBSETSUM-R

- **Given:** A sequence  $A = (a_1, ..., a_n)$  of positive integers and two positive integers  $q_1$  and  $q_2$  with  $1 \le q_1, q_2 \le \alpha 1$ , where  $\alpha = \sum_{i=1}^n a_i$ .
- **Question:** Is the number of subsequences of A summing up to  $q_1$  greater than the number of subsequences of A summing up to  $q_2$ , that is, does it hold that

 $#SUBSETSUM((a_1,...,a_n),q_1) > #SUBSETSUM((a_1,...,a_n),q_2) ?$ 

#### Lemma (Rey & Rothe)

 $\label{eq:compare-generation} \operatorname{Compare-\#SubsetSum}_m \leq_m^p \quad \operatorname{Compare-\#SubsetSum-R}.$ 

Proof: Given an instance (X, Y) of COMPARE-#SUBSETSUM,  $X = ((x_1, ..., x_m), q_x)$  and  $Y = ((y_1, ..., y_n), q_y)$ , construct a COMPARE-#SUBSETSUM-R instance  $(A, q_1, q_2)$  as follows.

Let  $\alpha = \sum_{i=1}^{m} x_i$  and define

 $A = (x_1, \ldots, x_m, 2\alpha y_1, \ldots, 2\alpha y_n), \quad q_1 = q_x, \quad \text{and} \quad q_2 = 2\alpha q_y.$ 

This construction can obviously be achieved in polynomial time.

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It holds that integers from A can only sum up to  $q_1 = q_x \le \alpha - 1$  if they do not contain multiples of  $2\alpha$ , thus

$$\# SUBSETSUM(A, q_1) = \# SUBSETSUM((x_1, \dots, x_m), q_x).$$

On the other hand,  $q_2$  cannot be obtained by adding any of the  $x_i$ 's, since this would yield a non-zero remainder modulo  $2\alpha$ , because  $\sum_{i=1}^{m} x_i = \alpha$  is too small.

Thus, it holds that

 $\#\text{SUBSETSUM}(A, q_2) = \#\text{SUBSETSUM}((v_1, \dots, v_n), q_v).$ 

It follows that (X, Y) is in COMPARE-#SUBSETSUM if and only if  $(A, q_1, q_2)$  is in COMPARE-#SUBSETSUM-R.

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To perform the next step, we need to ensure that all integers in a COMPARE-#SUBSETSUM-R instance are divisible by 8.

This can easily be achieved, by multiplying each integer in an instance  $((a_1, \ldots, a_n), q_1, q_2)$  by 8, obtaining

 $((8a_1,\ldots,8a_n),8q_1,8q_2)$ 

without changing the number of solutions for both related  ${\rm SUBSETSUM}$  instances.

Thus, from now on, without loss of generality, we assume that for a given COMPARE-#SUBSETSUM-R instance  $((a_1, \ldots, a_n), q_1, q_2)$ , it holds that

```
a_i, q_j \equiv 0 \mod 8 for 1 \le i \le n and j \in \{1, 2\}.
```

#### ${\rm Compare}\text{-}\#{\rm SubsetSum}\text{-}{\rm RR}$

- **Given:** A sequence  $A = (a_1, \ldots, a_n)$  of positive integers.
- **Question:** Is the number of subsequences of A summing up to  $\frac{\alpha}{2} 2$ , where  $\alpha = \sum_{i=1}^{n} a_i$ , greater than the number of subsequences of A summing up to  $\frac{\alpha}{2} 1$ , i.e., is it true that

$$#SUBSETSUM((a_1,...,a_n),\frac{\alpha}{2}-2)$$
  
> 
$$#SUBSETSUM((a_1,...,a_n),\frac{\alpha}{2}-1)?$$

#### Lemma (Rey & Rothe)

 $\label{eq:compare-generative} Compare-\#SubsetSum-R \ \leq^p_m \ Compare-\#SubsetSum-RR.$ 

Proof: Given an instance  $(A, q_1, q_2)$  of COMPARE-#SUBSETSUM-R, where we assume that  $A = (a_1, \ldots, a_n)$ ,  $q_1$ , and  $q_2$  satisfy

$$a_i, q_j \equiv 0 \mod 8$$
 for  $1 \le i \le n$  and  $j \in \{1, 2\}$ ,

we construct an instance B of COMPARE-#SUBSETSUM-RR as follows.

(This reduction is inspired by the standard reduction from SUBSETSUM to PARTITION due to Karp (1972).)

Letting  $\alpha = \sum_{i=1}^{n} a_i$ , define

$$B = (a_1, \ldots, a_n, 2\alpha - q_1, 2\alpha + 1 - q_2, 2\alpha + 3 + q_1 + q_2, 3\alpha).$$

This instance can obviously be constructed in polynomial time.

Observe that

$$T = \left(\sum_{i=1}^{n} a_i\right) + (2\alpha - q_1) + (2\alpha + 1 - q_2) + (2\alpha + 3 + q_1 + q_2) + 3\alpha = 10\alpha + 4,$$

and therefore,  $\frac{T}{2} - 2 = 5\alpha$  and  $\frac{T}{2} - 1 = 5\alpha + 1$ .

We show that  $(A, q_1, q_2)$  is in COMPARE-#SUBSETSUM-R if and only if *B* is in COMPARE-#SUBSETSUM-RR.

First, we examine which subsequences of B sum up to  $5\alpha$ .

**Case 1:** If  $3\alpha$  is added,  $2\alpha + 3 + q_1 + q_2$  cannot be added, as it would be too large.

Also,  $2\alpha + 1 - q_2$  cannot be added, leading to an odd sum.

So,  $2\alpha - q_1$  has to be added, as the remaining  $\alpha$  are too small.

Since  $3\alpha + 2\alpha - q_1 = 5\alpha - q_1$ ,  $5\alpha$  can be achieved by adding some  $a_i$ 's if and only if there exists a subset  $A' \subseteq \{1, ..., n\}$ such that  $\sum_{i \in A'} a_i = q_1$  (i.e., A' is a solution of the SUBSETSUM instance  $(A, q_1)$ ).

**Case 2:** If  $3\alpha$  is not added, but  $2\alpha + 3 + q_1 + q_2$ , an even number can only be achieved by adding  $2\alpha + 1 - q_2$ .

Thus,  $\alpha - 4 - q_1$  remain.

 $2\alpha - q_1$  is too large, while no subsequence of A sums up to  $\alpha - 4 - q_1$ , because of the assumption of divisibility by 8. If neither  $3\alpha$  nor  $2\alpha + 3 + q_1 + q_2$  are added, the remaining

 $5\alpha + 1 - q_1 - q_2$  are too small.

Thus, the only possibility to obtain  $5\alpha$  is to find a subsequence of A adding up to  $q_1$ . Thus,

#SUBSETSUM $(A, q_1) = \#$ SUBSETSUM $(B, 5\alpha)$ .

Second, for similar reasons, a sum of  $5\alpha + 1$  can only be achieved by adding  $3\alpha + (2\alpha + 1 - q_2)$  and a term  $\sum_{i \in A'} a_i$ , where A' is a subset of  $\{1, \ldots, n\}$  such that  $\sum_{i \in A'} a_i = q_2$ .

Hence,

#SUBSETSUM $(A, q_2) = #$ SUBSETSUM $(B, 5\alpha + 1)$ .

Thus,

 $#SUBSETSUM(A, q_1) > #SUBSETSUM(A, q_2) \\ \iff \\ #SUBSETSUM(B, 5\alpha) > #SUBSETSUM(B, 5\alpha + 1),$ 

which completes the proof.

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#### Theorem (Rey & Rothe)

BI-BENEFICIALMERGE *is* PP-complete, even if only three players of equal weight merge.

 ${\sf Proof:} \quad {\sf Membership \ of \ Bl-BENEFICIALMERGE \ in \ PP \ follows \ from}$ 

- $\bullet\,$  the fact that the raw Banzhaf index is in #P and
- that #P is closed under addition and
- since comparing the values of two #P functions on two (possibly different) inputs reduces to a PP-complete problem and
- PP is closed under  $\leq_m^p$  -reducibility.

We show PP-hardness of BI-BENEFICIALMERGE by means of a  $\leq_m^p$ -reduction from COMPARE-#SUBSETSUM-RR, which is PP-hard by the previous lemmas.

Given an instance  $A = (a_1, ..., a_n)$  of COMPARE-#SUBSETSUM-RR, construct the following instance for BI-BENEFICIALMERGE.

Let  $\alpha = \sum_{i=1}^n a_i$ . Define the WVG

$$G = (2a_1, \ldots, 2a_n, 1, 1, 1, 1; \alpha)$$

with n+4 players, and let the merging coalition be

$$S = \{n+2, n+3, n+4\}.$$

Letting 
$$N = \{1, ..., n\}$$
, it holds that  
 $BI(G, n+2)$   
 $= \frac{1}{2^{n+3}} \left\| \left\{ C \subseteq \{1, ..., n+1, n+3, n+4\} \mid \sum_{i \in C} w_i = \alpha - 1 \right\} \right\|$   
 $= \frac{1}{2^{n+3}} \left( \left\| \left\{ A' \subseteq N \mid \sum_{i \in A'} 2a_i = \alpha - 1 \right\} \right\| + 3 \cdot \left\| \left\{ A' \subseteq N \mid 1 + \sum_{i \in A'} 2a_i = \alpha - 1 \right\} \right\|$ 
(2)  
 $+ 3 \cdot \left\| \left\{ A' \subseteq N \mid 2 + \sum_{i \in A'} 2a_i = \alpha - 1 \right\} \right\| + \left\| \left\{ A' \subseteq N \mid 3 + \sum_{i \in A'} 2a_i = \alpha - 1 \right\} \right\|$ )  
(3)  
 $= \frac{1}{2^{n+3}} \left( 3 \cdot \left\| \left\{ A' \subseteq N \mid \sum_{i \in A'} 2a_i = \alpha - 2 \right\} \right\| + \left\| \left\{ A' \subseteq N \mid \sum_{i \in A'} 2a_i = \alpha - 4 \right\} \right\| \right).$ 

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#### Explanation:

- The last equality holds since the 2*a<sub>i</sub>*'s can only add up to an even number.
- The first of the four sets in (2) and (3) refers to those coalitions that do not contain any of the players n+1, n+3, and n+4;
- the second, third, and fourth set in (2) and (3) refers to those coalitions containing either one, two, or three of them, respectively.

Since the players in S have the same weight, players n+3 and n+4 have the same probabilistic Banzhaf index as player n+2.

The new game after merging is  $G_{\&\{n+2,n+3,n+4\}} = (3,2a_1,\ldots,2a_n,1;\alpha)$  with n+2 players. Similarly as above, we calculate:

$$\begin{aligned} \mathsf{BI}\left(G_{\&\{n+2,n+3,n+4\}},1\right) \\ &= \frac{1}{2^{n+1}} \left\| \left\{ C \subseteq \{2,\dots,n+2\} \middle| \sum_{i \in C} w_i \in \{\alpha-3,\alpha-2,\alpha-1\} \right\} \right\| \\ &= \frac{1}{2^{n+1}} \left( \left\| \left\{ A' \subseteq N \middle| \sum_{i \in A'} 2a_i \in \{\alpha-3,\alpha-2,\alpha-1\} \right\} \right\| \\ &+ \left\| \left\{ A' \subseteq N \middle| 1 + \sum_{i \in A'} 2a_i \in \{\alpha-3,\alpha-2,\alpha-1\} \right\} \right\| \right) \\ &= \frac{1}{2^{n+1}} \left( 2 \cdot \left\| \left\{ A' \subseteq N \middle| \sum_{i \in A'} 2a_i = \alpha - 2 \right\} \right\| + \left\| \left\{ A' \subseteq N \middle| \sum_{i \in A'} 2a_i = \alpha - 4 \right\} \right\| \right) \end{aligned}$$

Altogether, it holds that

$$\begin{aligned} \mathsf{BI}\left(G_{\&\{n+2,n+3,n+4\}},1\right) &- \sum_{i\in\{n+2,n+3,n+4\}}\mathsf{BI}(G,i) \\ &= \left.\frac{1}{2^{n+1}}\left(2\cdot \left\|\left\{A'\subseteq N \mid \sum_{i\in\mathcal{A}'} 2a_i = \alpha - 2\right\}\right\| + \left\|\left\{A'\subseteq N \mid \sum_{i\in\mathcal{A}'} 2a_i = \alpha - 4\right\}\right\|\right) \\ &- \frac{3}{2^{n+3}}\left(3\cdot \left\|\left\{A'\subseteq N \mid \sum_{i\in\mathcal{A}'} 2a_i = \alpha - 2\right\}\right\| + \left\|\left\{A'\subseteq N \mid \sum_{i\in\mathcal{A}'} 2a_i = \alpha - 4\right\}\right\|\right) \\ &= \left.\left(\frac{1}{2^{n+1}}\cdot 2 - \frac{3}{2^{n+3}}\cdot 3\right)\left\|\left\{A'\subseteq N \mid \sum_{i\in\mathcal{A}'} 2a_i = \alpha - 2\right\}\right\| \\ &+ \left(\frac{1}{2^{n+1}} - \frac{3}{2^{n+3}}\right)\left\|\left\{A'\subseteq N \mid \sum_{i\in\mathcal{A}'} 2a_i = \alpha - 4\right\}\right\| \end{aligned}$$

$$= -\frac{1}{2^{n+3}} \cdot \left\| \left\{ A' \subseteq N \ \middle| \ \sum_{i \in A'} a_i = \frac{\alpha}{2} - 1 \right\} \right\| + \frac{1}{2^{n+3}} \cdot \left\| \left\{ A' \subseteq N \ \middle| \ \sum_{i \in A'} a_i = \frac{\alpha}{2} - 2 \right\} \right\|$$

which is greater than zero if and only if

$$\left\|\left\{A'\subseteq N \mid \sum_{i\in A'}a_i=\frac{\alpha}{2}-2\right\}\right\| > \left\|\left\{A'\subseteq N \mid \sum_{i\in A'}a_i=\frac{\alpha}{2}-1\right\}\right\|,$$

which in turn is the case if and only if the original instance A is in COMPARE-#SUBSETSUM-RR.

#### Theorem (Rey & Rothe)

Banzhaf-BENEFICIAL-SPLIT *is* PP-*hard*, even if the given player can only split into three players of equal weight.

Proof: We use the same techniques as in the previous proof, appropriately modified.

We show PP-hardness for m = 3 false identities.

(If m > 3, we split into m - 3 additional players of weight 0 each. Then the sum of all m new players' Banzhaf power is equal to the combined Banzhaf power of the three players.)

First, we slightly change the definition of COMPARE-#SUBSETSUM-RR by switching  $\frac{\alpha}{2} - 2$  and  $\frac{\alpha}{2} - 1$ , yielding COMPARE-#SUBSETSUM-**H**.

#### ${\rm Compare}\text{-}\#{\rm SubsetSum}\text{-}{\rm RR}$

- **Given:** A sequence  $A = (a_1, \ldots, a_n)$  of positive integers.
- **Question:** Is the number of subsequences of A summing up to  $\frac{\alpha}{2} 2$ , where  $\alpha = \sum_{i=1}^{n} a_i$ , greater than the number of subsequences of A summing up to  $\frac{\alpha}{2} 1$ , i.e., is it true that

$$#SUBSETSUM((a_1,...,a_n),\frac{\alpha}{2}-2)$$

$$> #SUBSETSUM((a_1,...,a_n),\frac{\alpha}{2}-1)?$$

#### $\operatorname{COMPARE-\#SUBSETSUM-}{}{\operatorname{S$

- **Given:** A sequence  $A = (a_1, \ldots, a_n)$  of positive integers.
- **Question:** Is the number of subsequences of A summing up to  $\frac{\alpha}{2} 1$ , where  $\alpha = \sum_{i=1}^{n} a_i$ , greater than the number of subsequences of A summing up to  $\frac{\alpha}{2} 2$ , i.e., is it true that

$$#SUBSETSUM((a_1,...,a_n),\frac{\alpha}{2}-1)$$

$$> #SUBSETSUM((a_1,...,a_n),\frac{\alpha}{2}-2)?$$

We show

 $\mathrm{COMPARE}\text{-}\#\mathrm{SUBSETSUM}\text{-}\frac{\mathrm{SUBSETSUM}}{\mathrm{SUBSETSUM}}\text{-}$ 

Given an instance  $A = (a_1, ..., a_n)$  of COMPARE-#SUBSETSUM-**AA**, construct the game  $G = (2a_1, ..., 2a_n, 1, 3; \alpha)$ , where  $\alpha = \sum_{j=1}^n a_j$ , and let i = n+2 be the player to be split.

G is (apart from the order of players) equivalent to the game obtained by merging in the previous proof.

Thus, letting  $N = \{1, \ldots, n\}$ , BI(G, n+2) equals

$$\frac{1}{2^{n+1}}\left(2\cdot \left\|\left\{A'\subseteq N\ \middle|\ \sum_{j\in A'}2a_j=\alpha-2\right\}\right\|+\left\|\left\{A'\subseteq N\ \middle|\ \sum_{j\in A'}2a_j=\alpha-4\right\}\right\|\right)$$

Allowing players with weight zero, there are different possibilities to split player n+2 into three players:

- Splitting n+2 into one player with weight 3 and two others with weight 0 is not beneficial, since adding a player with weight zero does not change the original players' power indices, and the new player's power index is zero.
- Likewise, splitting *n*+2 into two players with weights 1 and 2 and one player with weight 0 is not beneficial, since splitting into two players is not beneficial.
- Thus, the only possibility left is splitting *n*+2 into three players of weight 1 each.

This corresponds to the original game in the previous proof:

$$G_{i+3} = (2a_1, \ldots, 2a_n, 1, 1, 1, 1; \alpha).$$

Therefore,

$$\mathsf{BI}(G_{i\div3}, n+2) = \mathsf{BI}(G_{i\div3}, n+3) = \mathsf{BI}(G_{i\div3}, n+4) = \frac{1}{2^{n+3}} \left( 3 \cdot \left\| \left\{ A' \subseteq N \ \middle| \ \sum_{j \in A'} 2a_j = \alpha - 2 \right\} \right\| + \left\| \left\{ A' \subseteq N \ \middle| \ \sum_{j \in A'} 2a_j = \alpha - 4 \right\} \right\| \right)$$

Altogether, as in the previous proof,

$$(\mathsf{BI}(G_{i+3}, n+2) + \mathsf{BI}(G_{i+3}, n+3) + \mathsf{BI}(G_{i+3}, n+4)) - \mathsf{BI}(G, n+2) > 0$$

if and only if

$$\left\|\left\{A'\subseteq \mathsf{N}\; \middle|\; \sum_{j\in A'}\mathsf{a}_j=\frac{\alpha}{2}-1\right\}\right\|>\left\|\left\{A'\subseteq \mathsf{N}\; \middle|\; \sum_{j\in A'}\mathsf{a}_j=\frac{\alpha}{2}-2\right\}\right\|,$$

which is true if and only if A is in COMPARE-#SUBSETSUM- $\frac{}{}$ .

 $\square$ 

## Structural Control by Adding or Deleting Players

Given a WVG G and a player i in G, can we

- increase,
- decrease, or
- maintain
- i's power by adding players to G or deleting players from G?

#### Example

- Collective decision making: An organizer might invite further participants or might choose a certain meeting schedule to make sure that members originally expected to participate are now excluded.
- Machines may be needed to fulfill a certain task, independent of the number of currently available machines; some machines can be removed, new ones can be bought.

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## Deleting Players: Example

#### Example

Consider the WVG G = (3,3,2,1;6). We have:

$$BI(G,1) = BI(G,2) = \frac{1}{2}$$
 and  $BI(G,3) = BI(G,4) = \frac{1}{4}$ ,  
 $SSI(G,1) = SSI(G,2) = \frac{1}{3}$  and  $SSI(G,3) = SSI(G,4) = \frac{1}{6}$ .

If we remove player 4, we obtain the new game  $G_{\setminus \{4\}} = (3,3,2;6)$  with

$$BI(G,1) = BI(G,2) = \frac{1}{2}$$
 and  $BI(G,3) = 0$ ,  
 $SSI(G,1) = SSI(G,2) = \frac{1}{2}$  and  $SSI(G,3) = 0$ .

Players 1 and 2 have increased their SSI while maintaining their BI.

At the same time, both power indices of player 3 have decreased to 0.

Theorem (Rey & Rothe, 2018; Kaczmarek & Rothe, 2022)

After deleting the players of a subset  $M \subseteq N \setminus \{i\}$  of size  $m \ge 1$  from a WVG G with n = |N| players, the difference between player i's old and new

**(**) Penrose-Banzhaf index is at most  $1 - 2^{-m}$  and is at least  $-1 + 2^{-m}$ ;

Shapley-Shubik index is at most  $1 - \frac{(n-m+1)!}{2n!}$  and is at least  $-1 + \frac{(n-m+1)!}{2n!}$ .

Theorem (Kaczmarek & Rothe, 2022)

Let  $G = (w_1, ..., w_n; q)$  be a WVG with players N. Let  $M \subseteq N \setminus \{i\}$  be a set of players which are going to be deleted and m = |M|.

$$\mathsf{BI}(G,i) - \mathsf{BI}(G_{\backslash M},i) \geq \max((1-2^m)\mathsf{BI}(G,i),\mathsf{BI}(G,i)-1),$$

$$SSI(G,i) - SSI(G_{\backslash M},i) \geq \max((1 - \binom{n}{m}))SSI(G,i), SSI(G,i) - 1)$$

and

3 
$$\operatorname{Bl}(G,i) - \operatorname{Bl}(G_{\setminus M},i) \leq \min\left(\operatorname{Bl}(G,i), \sum_{j \in M} \operatorname{Bl}(G,j) + \frac{(2^m-1)^2}{2^{n-1}}\right),$$

• 
$$\mathsf{SSI}(G,i) - \mathsf{SSI}(G_{\setminus M},i) \le \min\left(\mathsf{SSI}(G,i), \sum_{j \in M} \mathsf{SSI}(G,j) + \frac{1}{(n-m)!}\right).$$

#### Example

$$G = (4, 2, 1, 1, 1; 4)$$
: Let  $M = \{5\}$ . Then

$$\mathsf{BI}(G,2)=rac{1}{4}$$
 and  $\mathsf{BI}(G_{\setminus M},2)=rac{1}{8}.$ 

The upper bound from the first theorem is

$$BI(G,2) - BI(G_{\setminus M},2) \le 1 - \frac{1}{2} = \frac{1}{2}$$

and that from the second theorem is

$$BI(G,2) - BI(G_{\setminus M},2) \le \min(\frac{1}{4},\frac{1}{8} + \frac{1}{16}) = \frac{3}{16}.$$

#### Example

$$G = (4, 2, 1, 1, 1; 4)$$
: Let  $M = \{5\}$ . Then

$$SSI(G,2) = \frac{11}{60}$$
 and  $SSI(G_{\setminus M},2) = \frac{5}{60}$ .

The upper bound from the first theorem is

$$SSI(G,2) - SSI(G_{M},2) \le 1 - \frac{(5-1+1)!}{2 \cdot 5!} = \frac{1}{2}$$

and that from the second theorem is

$$SSI(G,2) - SSI(G_{M},2) \le min(\frac{11}{60}, \frac{1}{10} + \frac{1}{4!}) = \frac{17}{120}$$

# Deleting Players: Control Problem

Control by Deleting Players to Increase  $\mathbb{PI}$ 

- **Given:**  $\blacktriangleright$  A WVG *G* with players  $N = \{1, \dots, n\}$ ,
  - ▶ a distinguished player  $p \in N$ , and
  - $\blacktriangleright$  a positive integer k.

**Question:** Can at most k players  $M \subseteq N \setminus \{p\}$  be deleted from G such that for the new game  $G_{\setminus M}$ , it holds that

$$\mathbb{PI}(G_{\backslash M},p) > \mathbb{PI}(G,p)?$$

# Deleting Players: Overview of Complexity Results

Goal		Control by deleting players
Decrease	BI	P <sup>NP[log]</sup> -hard (Kaczmarek and Rothe, 2022)
	SSI	NP-hard (Kaczmarek and Rothe, 2022)
Increase	BI	DP-hard (Kaczmarek and Rothe, 2022)
	SSI	NP-hard (Rey and Rothe, 2018)
Maintain	BI	coNP-hard (Rey and Rothe, 2018)
	SSI	coNP-hard (Rey and Rothe, 2018)
### Weighted Voting Games with Changing Quota

Definition (weighted voting game with quota change)

A weighted voting game with changing quota  $G = (w_1, ..., w_n; r)$  is a simple coalitional game that consists of

- the players  $N = \{1, \ldots, n\}$ ,
- weights  $w_i \in \mathbb{R}_{\geq 0}$ ,  $i \in N$ , where  $w_i$  is the *i*-th player's weight, and
- a quota  $q = r \sum_{i=1}^{n} w_i$  (i.e., a given threshold) for  $r \in (0, 1]$ .

### Weighted Voting Games with Changing Quota

Definition (weighted voting game with quota change)

A weighted voting game with changing quota  $G = (w_1, ..., w_n; r)$  is a simple coalitional game that consists of

• the players 
$$N=\{1,\ldots,n\}$$
,

- weights  $w_i \in \mathbb{R}_{\geq 0}$ ,  $i \in N$ , where  $w_i$  is the *i*-th player's weight, and
- a quota  $q = r \sum_{i=1}^{n} w_i$  (i.e., a given threshold) for  $r \in (0, 1]$ .

Again, for each coalition  $S \subseteq N$ , S wins if  $w_S \ge q$ , and loses otherwise:

$$\nu(S) = \begin{cases} 1 & \text{if } w_S \ge q, \\ 0 & \text{otherwise.} \end{cases}$$

# Weighted Voting Games with Changing Quota

#### Example

Let G = (10, 3, 10; 12) be a WVG without changing quota. Let us consider the following weighted voting games with changing quota:

►  $H_1 = (10, 3, 10; \frac{12}{23})$ :

$$q(H_1) = \frac{12}{23} \sum_{i=1}^{3} w_i = \frac{12}{23} \cdot 23 = 12,$$

►  $H_2 = (10, 3, 10; \frac{1}{2})$ :

$$q(H_2) = \frac{1}{2} \cdot 23 = 11.5.$$

Without any manipulation, G,  $H_1$ , and  $H_2$  define the same game.

#### Example

$$G = (\mathbf{1}, 2, 1, 1; \frac{1}{2}):$$

$$q(G) = r \sum_{i=1}^{4} w_i = \frac{1}{2} \cdot 5 = 2.5,$$

$$BI(G, 1) = \frac{1}{4}, \quad SSI(G, 1) = \frac{1}{6}.$$

Then

$$q(G_{\cup\{5\}}) = r \sum_{i=1}^{5} w_i = \frac{1}{2} \cdot 8 = 4,$$
  
BI $(G_{\cup\{5\}}, 1) = \frac{3}{16}, \quad SSI(G_{\cup\{5\}}, 1) = \frac{7}{60}.$ 

#### Theorem (Kaczmarek & Rothe, 2022)

Let  $G = (w_1, ..., w_n; r)$  be a WVG with changing quota with  $q_1 = r \sum_{i=1}^n w_i$ . Let N be a set of the players and M be a set of players which are added to the game G. Next, let  $G_{\cup M}$  be a new game with a set of players  $N \cup M$ ,  $q_2 = r \sum_{j \in N \cup M} w_j$  and m = |M|. Then

■ 
$$-1+2^{-m} \leq \mathsf{BI}(G,i)-\mathsf{BI}(G_{\cup M},i) \leq 1$$
,

② 
$$-1 + \frac{(n+1)!}{2(n+m)!} \le SSI(G,i) - SSI(G_{\cup M},i) \le 1.$$

#### Example

 $G = (2, 1; \frac{2}{3}):$ 

$$\mathsf{BI}(G,1) = \mathsf{SSI}(G,1) = 1.$$

Let us add two players with weights  $w_3 = w_4 = 4$ . Then

$$q(G_{\cup\{3,4\}})=\frac{22}{3}$$

and in  $G_{\cup\{3,4\}} = (2, 1, 4, 4; \frac{2}{3}),$ 

$$\mathsf{BI}(\mathit{G}_{\cup\{3,4\}},1)=\mathsf{SSI}(\mathit{G}_{\cup\{3,4\}},1)=0.$$

Control by Adding Players with Changing Quota to Increase  $\mathbb{P}\mathbb{I}$ 

- **Given:**  $\blacktriangleright$  A WVG *G* with players  $N = \{1, ..., n\}$ , a quota  $r \sum_{i=1}^{n} w_i$  ( $r \in (0,1]$ ),
  - ▶ a set *M* of unregistered players with weights  $w_{n+1}, \ldots, w_{n+m}$ ,
  - ▶ a distinguished player  $p \in N$ , and
  - $\blacktriangleright$  a positive integer k.
- **Question:** Can at most k players  $M' \subseteq M$  be added to G such that for the new game  $G_{\cup M'}$  with the new quota  $r \sum_{i \in N \cup M'} w_i$ , it holds that

$$\mathbb{PI}(G_{\cup M'}, p) > \mathbb{PI}(G, p)?$$

# Adding Players in WVGs with Changing Quota: Complexity

Goal		Control by adding players
Decrease	BI	PP-hard
	SSI	PP-hard
Increase	BI	PP-hard
	SSI	PP-hard
Maintain	BI	coNP-hard
	SSI	coNP-hard

All results are due to Kaczmarek and Rothe (2022).

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#### Example

$$G = (\mathbf{1}, 2, 1, 1; \frac{1}{2}):$$

$$q(G) = r \sum_{i=1}^{4} w_i = \frac{1}{2} \cdot 5 = 2.5,$$

$$BI(G, 1) = \frac{1}{4}, \quad SSI(G, 1) = \frac{1}{6}.$$
Let  $M = \{2\}.$  Then
$$q(G_{\backslash M}) = \frac{1}{2} \cdot 3 = 1.5,$$

$$BI(G_{\backslash M}, 1) = \frac{1}{2}, \quad SSI(G_{\backslash M}, 1) = \frac{1}{3}.$$

#### Theorem (Kaczmarek & Rothe, 2022)

Let  $G = (w_1, ..., w_n; r)$  be a WVG with changing quota with  $q_1 = r \sum_{i=1}^{n} w_i$ . Let N be a set of the players and  $M \subseteq N \setminus \{i\}$  a set of players which are going to be deleted. Next, let  $G_{\setminus M}$  be a new game with a set of players  $N \setminus M$ ,  $q_2 = r \sum_{j \in N \setminus M} w_j$  and m = |M|. Then

$$2 -1 \leq \mathsf{SSI}(G,i) - \mathsf{SSI}(G_{\backslash M},i) \leq 1 - \frac{(n-m+1)!}{2n!}.$$

#### Example

$$G = (\mathbf{3}, 5, 5, 3, 1, 1; \frac{5}{9})$$
: Let  $M = \{3, 4\}$ . Then

$$q(G) = 10, \quad q(G_{\setminus M}) = \frac{5}{9} \cdot 10 = \frac{50}{9},$$

$$BI(G,1) = \frac{1}{4}$$
 and  $BI(G_{\setminus M},1) = \frac{1}{8}$ .

The upper bound from the theorem is

$$BI(G,1) - BI(G_{\setminus M},1) \le 1 - 2^{-2} = \frac{3}{4}.$$

#### Example

$$G = (\mathbf{3}, 5, 5, 3, 1, 1; \frac{5}{9})$$
: Let  $M = \{3, 4\}$ . Then  
 $q(G) = 10, \quad q(G_{\setminus M}) = \frac{5}{9} \cdot 10 = \frac{50}{9},$   
 $SSI(G, 1) = \frac{2}{15} \quad and \quad SSI(G_{\setminus M}, 1) = \frac{1}{12}.$ 

The upper bound from the theorem is

$$SSI(G,1) - SSI(G_{M},1) \le 1 - \frac{5!}{2 \cdot 6!} = \frac{11}{12}.$$

Control by Deleting Players with Changing Quota to Increase  $\mathbb P$ 

**Given:** A WVG G with players  $N = \{1, ..., n\}$ , a quota  $r \sum_{i=1}^{n} w_i$  ( $r \in (0, 1]$ ),

- ▶ a distinguished player  $p \in N$ , and
- ▶ a positive integer k < |N|.
- **Question:** Can at most k players  $M \subseteq N \setminus \{p\}$  be deleted from G such that for the new game  $G_{\setminus M}$  with the new quota  $r \sum_{i \in N \setminus M'} w_i$ , it holds that

$$\mathbb{PI}(G_{\backslash M},p) > \mathbb{PI}(G,p)?$$

# Deleting Players in WVGs with Changing Quota: Complexity

Goal		Control by deleting players
Decrease	BI	DP-hard
	SSI	NP-hard
Increase	BI	DP-hard
	SSI	NP-hard
Maintain	BI	coNP-hard
	SSI	coNP-hard

All results are due to Kaczmarek and Rothe (2022).

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